LAW 751-01

Securities Regulation

Professor Taub

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| Tues, Aug. 31 | Introduction    What is a stock? What is a bond? Why do we regulate the offering and sale of securities (including stocks and bonds)? | Please watch the following documentary. It might be useful to first watch the short Khan Academy videos if you want to get more familiar with what a stock and bond are.      The Crash of 1929 <https://topdocumentaryfilms.com/the-crash-of-1929/>    “What it Means to Buy a Company's Stock”  13 minutes 46 seconds:  <https://www.khanacademy.org/economics-finance-domain/ap-macroeconomics/ap-financial-sector/financial-assets-ap/v/what-it-means-to-buy-a-company-s-stock>    “Bonds vs. Stocks” 9 minutes 21 seconds:  <https://www.khanacademy.org/economics-finance-domain/ap-macroeconomics/ap-financial-sector/financial-assets-ap/v/bonds-vs-stocks> |
| Thurs., Sept. 2 | The Framework of Securities Regulation | Pages 1 - 26 in Cox, Hillman, Langevoort, Lipton, & Sjostrom, *Securities Regulation:* *Cases and Materials, 9th edition*(and Supplement). |